

Reliability evaluation of reinforced concrete columns designed by Eurocode for wind dominated combination considering random loads eccentricity

Youbao Jiang^{a*}, Suixiang Peng^a, Michael Beer^{b,c}, Lei Wang^a, Jianren Zhang^a

(a School of Civil Engineering, Changsha University of Science and Technology, Changsha 410114, China;

b Institute for Risk and Reliability, Leibniz Universität Hannover, Hannover 34-30167, Germany;

c Institute for Risk and Uncertainty, University of Liverpool, Liverpool L69 3GQ, UK)

Abstract: With the capacity models in the 2004 edition of the European Committee for Standardization (CEN) Standard Design of Concrete Structures, a more realistic limit state function is obtained for reinforced concrete (RC) columns with random loads eccentricity. Using this function, the applicability of the code based design factors is discussed. Taking the wind-dominated combination as an example, the probabilistic distribution of loads eccentricity and the statistics of column resistance are analyzed for representative cases. The analysis indicates that the possible loads eccentricity is scattered over a large range, and the probabilistic model of column resistance varies from case to case, which is largely different from the resistance model assumed in previous reliability calibration. With Monte Carlo simulation (MCS), the column reliability and the contributions of both tension failure and compression failure to the total failure probability are calculated and obtained for different cases. The results show that the fixed loads eccentricity criterion underestimates differences in the reliability of columns for different loads eccentricity cases and overestimates the column reliability in some tension failure cases. Furthermore, it is found that the tension failure mode contributes most to the total failure probability for not only some columns designed to fail in tension

*Corresponding author
E-mail address:UQCSUST@163.com

failure but also for some columns designed to fail in compression failure. To attain a robust design, a group of optimum wind load factors varying with cases is recommended. The new calibration results prove that the recommended wind local factors can achieve the goal better.

Key words: RC columns; Eurocode-based design; wind dominated combination; random loads eccentricity; reliability evaluation; contribution analysis

Introduction

Wind disasters cause enormous socio-economic losses every year all over the world. For example, Hewston and Dorling (2011) reported that the average annual insured losses from wind-related domestic property damage in the UK are in excess of £340 m in 2005; Li and Ellingwood (2006), Unanwa et al. (2000) investigated the great losses of residential construction and the social disruption caused by hurricanes in the past two decades in the United States; Goliger and Retief (2007) reported the severe damages to the sustainability of the human habitat and built environment in Southern Africa. Two reasons are mainly attributed to this issue. One is that the extreme wind events happened more frequently, e.g. 1999 wind storm in France (Sacré 2002). Another is that some existing structures are not sufficiently windstorm-resistant. Hence, to reduce losses caused by wind disasters, many researchers have paid great attention to building more accurate probabilistic models of wind effects on structures e.g. wind speed, gust response factors models (Drew et al., 2013; Żurańskij, 2003; Sacré et al., 2007; Gatey and Miller, 2007; Kwon and Kareem, 2013) and to checking whether the existing structures are safe enough by loss estimations with uncertainties in wind and structural resistance, e.g. wind fragility or vulnerability analysis, intervention costs of buildings due to wind-induced damage (Alduse et al., 2014; Stewart et al., 2016; Peiris and Hill, 2012; Cui and

42 Caracoglia, 2015).

43 For addressing these issues properly in practice, a code-based design is required for the structures
44 at sites with frequent typhoon or strong wind. To achieve balances between safety and economy, a
45 reasonable target reliability is often prescribed for structural members in design codes (e.g. ACI 318-
46 08 code, 2016; EN 1992-1-1 code, 2004). Generally, the target safety level can be well achieved for
47 structural members by using the design methods in codes, because the code based design method is
48 obtained by statistical analyses of column resistance (see Ellingwood, 1997; Grant et al., 1978) and
49 reliability analyses of high-strength or normal-strength columns (see Diniz and Frangopol, 1997;
50 Szerszen et al., 2005), and it usually can lead to a sufficient windstorm-resistance for the design wind
51 action.

52 However, some unfavorable outcomes have been found recently for the RC columns. For
53 example, damages of RC columns subjected to a strong wind are usually more severe than they are
54 expected to be. This initiates some scholars' interests in safety level of RC column under strong winds.
55 Li (2008) investigated the reasons why some RC columns used to support aqueduct bridges collapsed
56 severely under a strong wind in China. Holický et al. (1996) found that the reliability differences
57 among 12 cases are much considerable for columns designed by Eurocodes and the reliability level
58 is insufficient in some cases.

59 Additionally, one of the most reasons for such unfavorable outcomes of columns is imperfects
60 of design methods in codes (e.g. ACI 318-08 code, 2016; EN 1992-1-1 code, 2004). The imperfects
61 mainly result from the reliability calibrations following the fixed loads eccentricity criterion for RC
62 columns. It is reported that the design methods in codes can cause a possible unsafe design (i.e.
63 reliability much lower than target value) in some cases of tension failure (Jiang et al., 2013, 2015,

2016), and they cannot achieve a uniform reliability under different cases (Jiang et al., 2016; Mohamed et al., 2001; Milner et al., 2001). Actually, the design methods in codes are often well suitable for the dead load and live load combination with a case of nearly fixed loads eccentricity (see Szerszen et al., 2005; Hong and Zhou, 1999; Mirza, 1996; Stewart and Attard 1999; Breccolotti and Materazzi, 2010), but are not well suitable for the wind and gravity load combinations with a case of noticeably random loads eccentricity.

For reliability evaluations of RC columns, there are two primary models in capacity or resistance calculations. One model follows the analytical formulas in codes (e.g. code-based models used by Jiang et al. (2013, 2015, 2016), Szerszen et al., (2005), Hong and Zhou(1999), Mirza (1996)), and another model works with finite elements (e.g. fiber section model used by Milner et al. (2001), Frangpol et al. (1996); ABAQUS model used by Mirza and Lacroix (2002)). In fact, the analytical capacity model of RC columns in codes has been validated by thousands of column tests, and can be applied well for reliability calibrations with both random and fixed loads eccentricity cases.

Considering random properties of loads eccentricity, Jiang et al. (2016) discussed the applicability of the column design methods in the ACI 318-08 code (2016) in detail for wind-dominated combination, and recommended a group of improved wind load factors varying with cases to achieve the target reliability level. As mentioned earlier, the code-based design methods for columns follow the fixed loads eccentricity criterion in Europe as well as in America. Hence, further studies are also required on how to improve the column design for the European engineering practices EN 1992-1-1 code (2004). Moreover, due to random loads eccentricity, both the compression failure mode and tension failure mode would possibly contribute to the total failure probability, and the contribution analysis needs to be investigated for columns designed based on codes.

Based on the previous studies on column design methods in the ACI 318-08 code (2016), this study focused on the reliability evaluation for column design methods in EN 1992-1-1 code (2004). It attempts to build a more realistic reliability model for RC columns under wind dominated load combination based on the widely accepted column capacity model in the code EN 1992-1-1 code (2004). Then, the differences between the probabilistic analysis results of resistance as well as reliability results obtained by the fixed and random loads eccentricity criterion are discussed for different design cases. The contributions of failure modes to the total failure probability are also investigated for the code-based designed columns with different parameters. To achieve a more robust column design with uniform reliability, a group of improved wind load factors are recommended for design practices.

Design Method in the Code

Capacity model of RC column

For an RC column with the moment M (along a fixed principal direction) and the compressive axial force N , its model for capacity calculation often adopts an equivalent rectangular stress block assumption in the code EN 1992-1-1 code (2004), as shown in Fig.1.

For a typical symmetrical rectangular section, the capacity formulas are given by

$$M = \eta f_c b x \left(\frac{h}{2} - \frac{x}{2} \right) + f_1 A_1 \left(\frac{h}{2} - d_1 \right) + f_2 A_2 \left(d - \frac{h}{2} \right) \quad (1)$$

$$N = \eta f_c b x + f_1 A_1 - f_2 A_2 \quad (2)$$

$$-f_y \leq f_1 = E_s \varepsilon_{cu} (d - x_c) / x_c \leq f_y \quad (3)$$

$$-f_y \leq f_2 = E_s \varepsilon_{cu} (x_c - d_1) / x_c \leq f_y \quad (4)$$

$$x = \lambda x_c \quad (5)$$

107 where ηf_c is effective compressive strength of concrete, $\eta=1.0$ for $f_c \leq 50\text{MPa}$, and f_c =compressive
108 strength of concrete; f_1 and f_2 are the stress of steel for compression and tension, respectively; $-f_y$ and
109 f_y are the yield strength of steel for compression and tension, respectively; A_1 and A_2 are the area of
110 compressive and tensile steel, respectively, whereby $A_1 = A_2$ (assumed true in the whole paper); h
111 and d are the geometrical depth and effective depth, respectively; b is the section width; d_1 is the
112 distance from the center of gravity of the tensile (compressive) steel to the extreme tensile
113 (compressive) fiber; x_c and x are the depth of the real compression zone and the equivalent
114 rectangular stress block, respectively, $\lambda=0.8$ for $f_c \leq 50\text{MPa}$; $E_s=200\text{GPa}$ is the elastic modulus of
115 steel; $\varepsilon_{cu}=0.0035$ is the assumed ultimate strain of concrete.

116 ***Design factors in the code***

117 For a code-based design, the basic expression of design resistance and load effect is given by

$$118 \quad E_d \leq R_d \quad (6)$$

119 where E_d is the design value of the action effect and R_d is the design value of the corresponding
120 resistance.

121 For a basic combination of vertical load (including permanent G and imposed load Q) and
122 horizontal wind W , the design values of action effects: M_d and N_d are given as

$$123 \quad M_d = \gamma_G M_{G_k} + \gamma_Q \psi_Q M_{Q_k} + \gamma_W M_{W_k} \quad (7)$$

$$124 \quad N_d = \gamma_G N_{G_k} + \gamma_Q \psi_Q N_{Q_k} + \gamma_W N_{W_k} \quad (8)$$

125 where γ_G , γ_Q and $\gamma_W=1.35$, 1.5 and 1.5 in the code, respectively; G_k , Q_k and W_k = characteristic values
126 of permanent, imposed load and wind, respectively. If wind dominates the load combination, then in
127 Eq.(7) and Eq.(8) the imposed load action should be reduced by the appropriate factor ψ_Q ($\psi_Q=0.7$).

128 In EN 1992-1-1 code (2004) , the structural resistance R_d is evaluated with the basic variables

129 (e.g. variables describing the material properties, dimensions) adopting design values. For example,
130 the design values of concrete and steel strength are given by

$$131 \quad f_{cd} = \alpha_{cc} f_{ck} / \gamma_c \quad (9)$$

$$132 \quad f_{yd} = f_{yk} / \gamma_s \quad (10)$$

133 where f_{ck} and f_{yk} =characteristic values of concrete and steel strength, respectively; γ_c and $\gamma_s=1.5$ and
134 1.15 are partial factors, respectively, α_{cc} is allowing for long term effects and taken as 0.85.

135 Note that the design factors mentioned above are calibrated with a reliability analysis based on
136 the fixed loads eccentricity criterion. For this criterion, the limit state function is expressed by

$$137 \quad Z = (R|e = e_d) - M = 0 \quad (11)$$

138 where Z =performance function; e_d = fixed loads eccentricity in design, $e_d = M_d/N_d$. This implies that
139 the resistance assumed in Eq.(11) is only dependent of strength variables (e.g. concrete and steel
140 strength) but independent of loads eccentricity variations.

141 **Probabilistic Analysis of Loads Eccentricity**

142 ***Random Properties of Loads Eccentricity***

143 For a given structure under both wind and vertical load, the total moment and total axial force
144 of a column section are random due to random properties of loads (i.e. Q , G , and W are all considered
145 as random variables). These variables show a coefficient of variation (COV) of relevant magnitude.
146 The statistics of load variables are given in Implementation of and Eurocodes handbook2 (2005) and
147 shown in Table 1, which is in correspondence with the code EN 1992-1-1 code (2004). Herein, since
148 the wind load is considered to dominate the load combination, the arbitrary point-in-time model is
149 selected for the imposed load.

150 The random values of the combined moment and axial force are

$$M = M_{Gk} \frac{G}{G_k} + M_{Qk} \frac{Q}{Q_k} + M_{wk} \frac{W}{W_k} \quad (12)$$

$$N = N_{Gk} \frac{G}{G_k} + N_{Qk} \frac{Q}{Q_k} + N_{wk} \frac{W}{W_k} \quad (13)$$

with the random moment and axial force, the column loads eccentricity e is calculated as

$$e = \frac{M}{N} = \frac{M_{Gk} \frac{G}{G_k} + M_{Qk} \frac{Q}{Q_k} + M_{wk} \frac{W}{W_k}}{N_{Gk} \frac{G}{G_k} + N_{Qk} \frac{Q}{Q_k} + N_{wk} \frac{W}{W_k}} \quad (14)$$

From Eq.(14), it is seen that e is dependent of not only the loads (e.g. G , W) but also the characteristic values of action effects (e.g. M_{Gk} , M_{wk} , N_{Gk} , N_{wk}). For a given column, the characteristic values of action effects are usually different from each other. Thus, the total M and N are randomly correlated, even though the random loads are the same for the numerator and denominator, and e is random, too. To make a clear comparison between different columns, a normalized loads eccentricity e' is introduced as

$$e' = \frac{e}{e_d} \quad (15)$$

Probabilistic analysis for typical frames

Consider three typical RC frames for the European engineering practices as shown in Fig.2. Their structural parameters are shown in Table 2, and the combination of permanent load and imposed load distributed in different spans are denoted as G_1+Q_1 , G_2+Q_2 . Based on the European load code (Eurocode 1, 2005), the wind-induced internal forces can be calculated for these frame structures. The characteristic values of load effects for column sections (in $kN \cdot m$ for the moment and in kN for the axial force) are obtained as shown in Table 3.

With Monte Carlo simulation, the probability distributions of normalized loads eccentricity are shown in Fig.3. It is seen that the normalized loads eccentricity presents obvious random properties and its random values are scatted over a large range $[0.5, 2.0]$ for CS1, CS2 and CS3. The mean value

are 0.983, 0.900, 0.927 for CS1, CS2 and CS3, respectively. The COV are 0.253, 0.317, 0.319 for CS1, CS2 and CS3, respectively. For CS2 and CS3 in taller frames, the wind-induced moment dominates the total moment more strongly (see Table 3) and it leads to a larger COV for the normalized loads eccentricity since the wind has the largest COV among three random load variables.

Parametric Probabilistic Analysis of Resistance

Related design parameters

Generally, design moment M_d , design axial force N_d , concrete design strength f_{cd} , and steel design strength f_{yd} are used to check when considering limit state design. Suppose $A_1=A_2=A_s$, then the design equation is given by

$$Z(M_d, N_d, f_{cd}, f_{yd}, A_s, \dots) = 0 \quad (16)$$

where only terms of interest are shown in the equation for simplification.

Reinforcement and axial force usually determines the bending capacity of an RC column with selected material configurations (i.e., concrete and steel) and a given section dimension. Herein, two normalized ratios, reinforcement ratio and axial compression ratio, are defined as

$$N_{cr} = \eta f_c b h_0 \lambda \frac{x_b}{d} \quad (17)$$

$$\lambda_N = N_d / N_{cr} \quad (18)$$

$$\rho_s = A_s / (bh) \quad (19)$$

where N_{cr} is the design axial force under balanced failure, x_b is the neutral axis depth at balance. If two ratios are selected, then the design moment M_d can be solved by Eq.(16)

In order to distinguish differences of columns with different load effects, two ratios of moment and axial forces are often introduced in reliability analysis, too, and they are given by

$$\rho_M = M_{wk} / (M_{Gk} + M_{Qk}) \quad (20)$$

$$\rho_N = N_{wk} / (N_{Gk} + N_{Qk}) \quad (21)$$

Then, the characteristic values of moment and axial force for each load are expressed as:

$$M_{Gk} = M_d / \left[\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_M \left(1 + \frac{Q_k}{G_k} \right) \right] \quad (22)$$

$$N_{Gk} = N_d / \left[\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_N \left(1 + \frac{Q_k}{G_k} \right) \right] \quad (23)$$

$$M_{Qk} = \frac{M_d}{\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_M \left(1 + \frac{Q_k}{G_k} \right)} \frac{Q_k}{G_k} \quad (24)$$

$$N_{Qk} = \frac{N_d}{\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_N \left(1 + \frac{Q_k}{G_k} \right)} \frac{Q_k}{G_k} \quad (25)$$

$$M_{wk} = \frac{M_d \rho_M}{\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_M \left(1 + \frac{Q_k}{G_k} \right)} \left(1 + \frac{Q_k}{G_k} \right) \quad (26)$$

$$N_{wk} = \frac{N_d \rho_N}{\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_N \left(1 + \frac{Q_k}{G_k} \right)} \left(1 + \frac{Q_k}{G_k} \right) \quad (27)$$

Substituting Eqs.(22-27) into Eq.(15), the normalized loads eccentricity e' is rewritten as

$$e' = \frac{\left[\frac{G}{G_k} + \frac{Q_k}{G_k} \frac{Q}{Q_k} + \rho_M \left(1 + \frac{Q_k}{G_k} \right) \frac{W}{W_k} \right] \left[\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_N \left(1 + \frac{Q_k}{G_k} \right) \right]}{\left[\frac{G}{G_k} + \frac{Q_k}{G_k} \frac{Q}{Q_k} + \rho_N \left(1 + \frac{Q_k}{G_k} \right) \frac{W}{W_k} \right] \left[\gamma_G + \gamma_Q \psi_Q \frac{Q_k}{G_k} + \gamma_W \rho_M \left(1 + \frac{Q_k}{G_k} \right) \right]} \quad (28)$$

From Eq.(28), it is known that the random properties of load variables and two normalized parameters:

ρ_M, ρ_N have a significant effect on the random properties of e' .

If the random properties of resistance and load variables are all given, the reliability of the RC column may still vary largely with different values of $\rho_s, \lambda_N, \rho_M$ and ρ_N . Thus, the reasonable values of parameters are crucial for reliability evaluation. Ellingwood et al. (1980) reported a common value

209 of Q_k/G_k (1.0) for reliability calibration in 1970s. As living conditions improved these years, an
 210 increased value of Q_k/G_k is accounted (1.5), and thus two typical values $Q_k/G_k=1.0, 1.5$ are used in
 211 the following analysis. Furthermore, based on the analysis results of three structural scenarios (Jiang
 212 et al., 2015) and the results of three frames shown in Fig.2 and Table 3, and design requirements in
 213 practice, the common ranges of other parameters are also specified. Finally, the common ranges of
 214 these normalized design parameters are initially determined as shown in Table 4.

215 In this study, 2, 3, and 4 typical values are selected for ρ_s, ρ_M , and ρ_N , respectively, and they are
 216 uniformly distributed in the ranges of interest for No.1-No.24 cases, as shown in Table 5. As well, 3
 217 typical λ_N values: $\lambda_N=0.5, 1.0, 2.0$ and 2 typical Q_k/G_k values $Q_k/G_k=1.0, 1.5$ are considered for
 218 tension failure design case, balanced failure design case and compression failure design case,
 219 respectively. Thus, there are 144 cases in total.

220 ***Probabilistic models of resistance variables***

221 For resistance variables, f_c and f_y are considered as random variables, and usually have large
 222 effects on column reliability due to their COVs of relevant magnitude. The other resistance variables
 223 (e.g. dimensions of column section) are considered as deterministic since they have a much smaller
 224 COV and no significant effects on the reliability.

225 The statistics of resistance variables are shown in Table 6, which is given in Implementation of
 226 Eurocodes-Handbook2 (2005) and JCSS: Probabilistic Model Code (Joint Committee on Structural
 227 Safety [JCSS], 2002). Besides, the statistics of column resistance R/R_k are also given in Table 6 for
 228 reliability calibration with the fixed loads eccentricity criterion. It is noteworthy that the statistics of
 229 column are mainly from Eurocode, thus it's different from those in ACI (e.g. those recommended by
 230 Bartlett, et al. (1996)).

231 *Statistics of resistance with random loads eccentricity*

232 As mentioned earlier, the loads eccentricity produced by combined actions has important random
233 properties for wind dominated case including vertical actions. Moreover, the column resistance varies
234 largely for different loads eccentricity cases. Thus, the statistics of column strength is dependent on
235 not only the resistance variables (e.g., concrete strength, steel strength), but also the randomness of
236 the loads eccentricity. Let M_u denote the bending strength of a column. Then, M_u is a function of
237 multiple variables, namely loads eccentricity e , concrete strength f_c , steel strength f_y , and so on. In
238 this paper, a normalized resistance factor R' is introduced and given by

$$239 \quad R' = \frac{R}{R_k} = \frac{M_u(e, f_c, f_y, \dots)}{M_u(e_d, f_{ck}, f_{yk}, \dots)} \quad (29)$$

240 It is known that the statistics of R' depends only on the resistance variables for columns with a
241 fixed loads eccentricity. For simplification, the constant values for mean and COV of R' are used in
242 the previous reliability calibration of design code, and the corresponding data are presented in Table
243 6. However, for a column with a random loads eccentricity, its mean and COV of R' are largely
244 different from case to case.

245 Considering a short RC column with a symmetrical rectangular section, its column section is
246 500×500mm, and concrete and steel materials $f_{ck}=25\text{MPa}$, $f_{yk}=400\text{MPa}$ are used, respectively.
247 Characterization of the parameters required to define the short column is also shown earlier in Table
248 4 and Table 5.

249 With Monte Carlo simulation (MCS) and statistics of resistance variables, Mirza (1996) obtained
250 the statistics of resistance for columns with fixed loads eccentricity based on the capacity formulas
251 in the codes and an associated reliability result. Herein, the resistance statistics of columns with

random loads eccentricity is analyzed by MCS (run 5×10^5 times) in a similar manner. It is found that for a short column with random loads eccentricity, the resistance statistics varies largely with different λ_N values, however, the resistance statistics are very similar for $Q_k/G_k=1.0$ case and $Q_k/G_k=1.5$ case. Thus, the results are only given for $Q_k/G_k=1.0$ and there are 72 cases totally in the following analysis.

The results show that the mean varies from 1.07 to 2.12 across all 72 cases. For COV, the difference is much smaller from 0.055 to 0.085. They are both different from the constant values assumed in the previous reliability calibration.

As known, for an RC column, the balanced failure case can also be included in the tension failure case. In Fig.4, the mean values for tension failure design case (e.g. $\lambda_N=0.5$ and $\lambda_N=1.0$ case) are much smaller than the values for compression failure design case (e.g. $\lambda_N=2.0$). Therefore, the reliability in tension failure design case can be much lower than that in compression failure design case.

Reliability Evaluation of Columns

Limit state functions with random loads eccentricity

Herein, to make a clear comparison between the random loads eccentricity criterion and the fixed loads eccentricity criterion, only short columns with loading uncertainty is involved, and geometrical imperfections, long-term creep effects and second order effects are not considered.

As mentioned above, the loads eccentricity has important random properties for wind dominated case. Thus, a more realistic limit state function can be expressed by

$$R(e, f_c, f_y, \dots) - M = 0 \quad (30)$$

where e only due to loading (M/N).

273 An equivalent limit state function to Eq.(30) that considers random loads eccentricity can be
 274 obtained by using the N - M interaction equation based on Eqs.(1) and (2), and it is expressed by

$$275 \quad Z = (N - f_2 A_2 + f_1 A_1) \left(\frac{h}{2} - \frac{N - f_2 A_2 + f_1 A_1}{2\eta f_c b} \right) + f_1 A_1 \left(\frac{h}{2} - d_1 \right) + f_2 A_2 \left(d - \frac{h}{2} \right) - M = 0 \quad (31)$$

276 It shows that Eq.(31) is a nonlinear expression of resistance and load effect terms. However, Eq.(11)
 277 is a linear expression of moments M and the resistance term with a fixed loads eccentricity. Therefore,
 278 there is a large difference between Eqs. (31) and (11).

279 ***Reliability analysis strategies***

280 As well known, the reliability of a column is path-dependent (Milner et al., 2001), that is if the
 281 gravity loads are applied first and then the lateral forces due to wind, the M - N load trajectory changes
 282 direction and the reliability is not the same as that when the gravity and lateral loads increase in
 283 proportion at a constant loads eccentricity. However, if the column cannot fail under the firstly applied
 284 gravity loads, the M - N load trajectory usually has a small impact on reliability. In engineering practice,
 285 there is only a tiny failure probability for a column designed for wind-dominated combination
 286 subjected only normal gravity loads. Thus, for simplicity, the impacts of the M - N load trajectory on
 287 reliability is not considered in this paper, as well as in many other studies (e.g. Ellingwood et al.,
 288 1997; Mohamed et al., 2001).

289 After the design parameters are assigned, the reliability of the RC columns can be calculated
 290 from the statistics in Table 1 and Table 6. Because of the complex nature of the limit state function,
 291 as shown in Eq.(31), MCS is used for reliability calculations. In this study, the main purpose of the
 292 MCS application is for searching the design points rather than record the frequency of failures.

293 Let $Y^* = [y_1^*, y_2^*, \dots, y_m^*]$ denote the design point in the standard normal space, and m is the number

294 of random variables. Then, the reliability index can be given by

$$295 \quad \beta = \sqrt{Y^* Y^{*T}} \quad (32)$$

296 The main steps are shown in Fig.5. In order to achieve an accurate reliability result, the sampling
297 number is selected as large enough for each case (10^7 for most cases). Moreover, the obtained MCS
298 results are also compared with another method, which searches the design point by selecting 50 nodes
299 uniformly distributed within the ranges of interest for each one among $m-1$ random variables,
300 obtaining 50^{m-1} points on the failure surface, calculating distance from the origin for each point, and
301 recording the point with the minimum distance. The reliability results given by these two methods
302 match each other very well.

303 *Analysis results and discussions*

304 With the flowchart in Fig.5 and the statistics of random variables in Table 1 and Table 6, the
305 reliability index is calculated for different cases of columns with random loads eccentricity. For
306 comparison, the corresponding reliability index is also calculated for the fixed loads eccentricity cases.
307 Finally, all the obtained results are shown in Fig. 6.

308 Based on the code design method, if a fixed loads eccentricity criterion is used, the reliability
309 index varies from 3.09 to 3.70 only with different values of ρ_M . But if the random loads eccentricity
310 is taken into account, the reliability index will be different, and shows a scatter over a large range,
311 especially for cases with $\lambda_N=2.0$. For total 72 cases, the maximum and minimum value are 6.44 and
312 2.68, respectively.

313 In Fig.6, the reliability indexes based on the random loads eccentricity may be lower than those
314 based on the fixed loads eccentricity in some cases or higher than those based on the fixed loads
315 eccentricity in other cases. For some columns designed to fail in tension failure (λ_N not larger than

1.0), a lower reliability (e.g. 2.71 for No.17, less than 3.8) may possibly be found, especially with a larger ρ_M . Even for the fixed loads eccentricity criterion, the lower reliability cases can also be found and it is reported for load combinations involving wind load (see Jiang et al., 2016; Ellingwood et al., 1980).

Failure Mode Contribution and Improved Design Measures

Column Failures under random loads eccentricity

There are two basic failure modes for RC columns: tension failure and compression failure, which are usually determined by the tension steel of the column section yielding or not in the limit state. For a column design following the fixed loads eccentricity criterion, the failure mode is also assumed to be fixed as compression failure or tension failure, depending on the fixed loads eccentricity value for most cases. However, as mentioned earlier, the loads eccentricity has random properties, thus the column failure should not be fixed as compression failure or tension failure.

Actually, each failure mode can make a contribution to the total failure probability when considering random properties of loads eccentricity as well as other variables. However, the contributions of each failure mode to the total failure probability can vary from case to case.

Contribution ration of failure modes

To investigate the contributions of each failure mode to the total failure probability under different axial compression ratio, another two larger values: $\lambda_N=2.5$ and 4.0 are considered additionally. Then, the corresponding contribution analysis is performed with MCS (10^8 in maximum) for all cases, which is $5 \times 24 = 120$ cases.

The results in Table 7 indicate that for some columns designed to fail in tension failure ($\lambda_N = 0.5$,

1.0), only the tension failure mode would contribute to the total failure probability; for some columns designed to fail in compression failure (λ_N larger than 1.0), the compression failure would not always contribute as much as 100% to the total failure probability, and sometimes the tension failure would even contribute more. For example, it shows that the tension failure mode contributes more for No.6 case when $\lambda_N=2.0$ (columns designed to fail in compression failure). However, there is only compression failure when $\lambda_N=4.0$.

Improved design measures and results

It is known that the constant load and resistance factors usually lead to designs with large variations of reliability, thus they should be improved to achieve a robust design (Ching et al., 2013). For an RC column designed with 50 years of service life, the target reliability is usually 3.8 for both tension failure and compression failure in Eurocode. If the same target reliability is also assumed as $\beta_T=3.8$ for columns with tension failure (e.g. lower reliability cases with $\lambda_N=0.5, 1.0$), then the constant design factors (e.g. load factors, resistance factors) used in codes are required to be improved to achieve this goal. To be consistent with the code and conveniently applied, only the wind load factor γ_w is improved and other design factors (e.g. γ_G and γ_Q) are still kept fixed.

A tentative range from 1.2 to 2.5 with step size 0.05 is selected for searching the optimum γ_w , which is the one that corresponds closest to the target reliability index 3.8 in general. The optimum values of γ_w are obtained for 48 different cases (i.e., No.1-No.24 and $\lambda_N=0.5, 1.0$), as shown in Fig.7.

It can be seen that the optimal γ_w is not constant and varies from 1.3 to 2.4. However, a constant value 1.5 is adopted in the European Code (see JCSS, 2002) for column design. For comparison, the robustness evaluation of these two measures (i.e., non-constant and constant γ_w factors) is performed for a total of 48 cases and the results are given in Table 8. It is shown that the design method with the

recommended values can achieve a robust design within 48 cases, leading to a smaller COV and a closer value to the target reliability 3.8.

Conclusions

Based on the capacity model in Eurocode, a more realistic limit state function of RC columns with random loads eccentricity was established. The column resistance, reliability, and contribution of both tension failure and compression failure to the total failure probability were calculated and obtained for different cases. From the analyses the following main conclusions are drawn.

(1) For wind-dominated combinations, the column loads eccentricity is scattered over a large range, and the resistance probability model is quite different from the model assumed in the previous code-based reliability calibration.

(2) The fixed loads eccentricity criterion used in previous reliability calibration can underestimate differences in the reliability of columns for different cases and overestimate the reliability in some tension failure cases.

(3) For columns designed by code-based factors, the reliability in the tension failure case is much lower than that in the compression failure case, and it is even lower for the tension failure case with a larger ratio of the moment produced by wind load to the moment produced by vertical load, when random properties of loads eccentricity are considered.

(4) For some columns designed to fail in compression failure, the tension failure mode rather than compression failure mode would contribute as much as 100% to the total failure probability. Thus, the tension failure mode would have a significant impact on the total failure probability for columns designed to fail in not only tension failure but also compression failure.

380 (5) The recommended wind load factor varying with cases can ensure a mean reliability index closer
381 to the assumed target reliability index 3.8, and a smaller coefficient of variation, thus a robust design
382 can be achieved better.

383 Further attention should be paid to the studies of the uniform reliability design of RC columns
384 with random loads eccentricity for other load combinations.

385 **Acknowledgement**

386 The research is supported by the National Natural Science Foundation of China (Grant No.
387 51678072), the National Key Basic Research Program of China (Grant No. 2015CB057705), the Key
388 Discipline Foundation of Civil Engineering of Changsha University of Science and Technology
389 (18ZDXK01). This support is gratefully acknowledged.

390 **References:**

- 391 ACI Committee 318 (2016) Building code requirements for reinforced concrete (ACI 318-08) and
392 commentary (318R-08). *American Concrete Institute: Farmington Hills, MI.*
- 393 Alduse BP, Jung S, Vanli OA, (2014) Effect of uncertainties in wind speed and direction on the fatigue
394 damage of long-span bridges. *Engineering Structures* 100: 468-478.
- 395 Bartlett, F.M. and J.G. MacGregor (1996), Statistical analysis of the compressive strength of concrete
396 in structures. *ACI Material Journal* 93(2):158-168.
- 397 Breccolotti M, Materazzi AL (2010) Structural reliability of eccentrically-loaded sections in RC
398 columns made of recycled aggregate concrete. *Engineering Structures* 32(11): 3704-3712.
- 399 Ching J, Phoon KK, Chen JR, et al (2013) Robustness of constant load and resistance factor design
400 factors for drilled shafts in multiple strata. *Journal of Geotechnical and Geoenvironmental*

401 *Engineering* 139(7):1104-1114.

402 Cui W and Caracoglia L (2015) Simulation and analysis of intervention costs due to wind-induced
403 damage on tall buildings. *Engineering Structures* 87:183-197.

404 Diniz, S. and Frangopol, D.M. (1997). Reliability bases for high-strength concrete columns. *Journal*
405 *of Structural Engineering ASCE* 123(10):1375-1381.

406 Drew DR, Barlow JF, Lane SE (2013) Observations of wind speed profiles over Greater London, UK,
407 using a Doppler lidar. *Journal of Wind Engineering & Industrial Aerodynamics* 121: 98-105.

408 Ellingwood, BR. (1977). Statistical analysis of RC beam-column interaction. *Journal of the*
409 *Structural Division ASCE* 103(7):1377-1388.

410 Ellingwood BR, Galambos TV, MacGregor JG, et al. (1980) Development of a probability based load
411 criterion for American National Standard A58 NBS Special Publication 577. *Washington, DC:*
412 *Nation Bureau of Standards*.

413 EN 1992-1-1 Design of Concrete Structures (2004) Part 1.1: General Rules and Rules for Buildings.
414 *European Committee for Standardization (CEN)*.

415 Eurocode 1(2005): Actions on structures. Part 1.4: General actions – Wind actions. *European*
416 *Committee for Standardization (CEN)*.

417 Frangopol DM, Ide Y and Spacone E (1996) A new look at reliability of reinforced concrete columns.
418 *Structural Safety* 18(2):123-150.

419 Gatey DA and Miller CA (2007) An investigation into 50-year return period wind speed differences
420 for Europe. *Journal of Wind Engineering & Industrial Aerodynamics* 95(9-11): 1040-1052.

421 Goliger AM and Retief JV (2007) Severe wind phenomena in Southern Africa and the related damage.
422 *Journal of Wind Engineering & Industrial Aerodynamics* 95(9-11):1065-1078.

423 Grant, L.H., Mirza, S.A. and MacGregor, J.G. (1978). Monte Carlo study of strength of concrete
424 columns. *J. Am. Concrete Inst* 75(8):348-358.

425 Hewston R and Dorling SR (2011) An analysis of observed daily maximum wind gusts in the UK.
426 *Journal of Wind Engineering & Industrial Aerodynamics* 99 (8):845-856.

427 Holický Milan, Vrouwenvelder Ton (1996) Reliability analysis of a reinforced concrete column
428 designed according to the Eurocodes. Basis of design and actions on structures; Background and
429 application of Eurocode 1. Report, *IABSE colloquium (Delft)* 74:251-264.

430 Hong HP, Zhou W (1999) Reliability evaluation of RC columns. *Journal of Structural Engineering*
431 125(7):784-790.

432 Jiang Y, Yang WJ (2013) An approach based on theorem of total probability for reliability analysis of
433 RC columns with random eccentricity. *Structural Safety* 41(1):37-46.

434 Jiang Y, Sun GH, He YH (2015) A nonlinear model of failure function for reliability analysis of RC
435 frame columns with tension failure. *Engineering Structures* 98:74-80.

436 Jiang YB, Zhou H, Michael B, et al (2016) Robustness of load and resistance design factors for RC
437 columns with wind-dominated combination considering random eccentricity. *Journal of*
438 *Structural Engineering* 143(4): 4016221

439 JCSS (2002): joint committee on structural safety. Probabilistic model code. *Copenhagen: Technical*
440 *University of Denmark*.

441 Kwon DK and Kareem A (2013) Comparative study of major international with codes and standards
442 for wind effects on tall buildings. *Engineering Structure* 51:23-25.

443 Leonardo Da Vinci Pilot Project CZ/02/B/F/PP-134007 (2005) Implementation of and Eurocodes
444 handbook2: reliability backgrounds. Available at: <http://www.eurocodes.fi/1990/paasivu1990/>

445 sahkoinen1990/handbook2%5B1%5D.pdf

446 Li Y (2008) Wind damage mechanism analysis of a double-cantilever aqueduct bridge. *Journal of*

447 *Tongji University (Natural Science)* 36(11):1485-1489. in Chinese.

448 Li Y and Ellingwood BR (2006) Hurricane damage to residential construction in the US: Importance

449 of uncertainty modeling in risk assessment. *Engineering Structure* 28:1009-1018.

450 Milner DM, Spacone E, Frangopol DM (2001) New light on performance of short and slender

451 reinforced concrete columns under random loads. *Engineering Structures* 23(1): 147-157.

452 Mirza SA (1996) Reliability-based design of reinforced concrete columns. *Structural Safety*

453 18(2):179-194.

454 Mirza SA, Lacroix EA (2002) Comparative study of strength-computation methods for rectangular

455 reinforced concrete columns. *Aci Structural Journal* 99(4):399-410.

456 Mohamed A, Soares R, Venturini WS (2001) Partial safety factors for homogeneous reliability of

457 nonlinear reinforced concrete columns. *Structural Safety* 24(2): 137-156.

458 Peiris N and Hill M (2012) Modeling wind vulnerability of French houses to European extra-tropical

459 cyclones using empirical methods. *Journal of Wind Engineering & Industrial Aerodynamics* 104-

460 106:293-301.

461 Sacré C(2002) Extreme wind speed in France: the '99 storms and their consequences. *Journal of Wind*

462 *Engineering & Industrial Aerodynamics* 90:1163-1171.

463 Sacré C, Moisselin JM, Sabre M, et al (2007) A new statistical approach to extreme wind speeds in

464 France. *Journal of Wind Engineering & Industrial Aerodynamics* 95(9-11):1415-1423.

465 Stewart MG, Attard MM (1999) Reliability and model accuracy for high-strength concrete column

466 design. *Journal of Structural Engineering* 125(3):290-300.

467 Stewart MG, Ryan PC, Henderson DJ, et al (2016) Fragility analysis of roof damage to industrial
468 buildings subject to extreme wind loading in non-cyclonic regions. *Engineering Structure*
469 128:333-343.

470 Szerszen MM, Szwed A, Nowak AS (2005) Reliability analysis for eccentrically loaded columns.
471 *ACI Structural Journal* 102(5):656-688.

472 Unanwa CO, McDonald JR, Mehta KC, et al. (2000) The development of wind damage bands for
473 buildings. *Journal of Wind Engineering & Industrial Aerodynamics* 84:119-149.

474 Żurański JA (2003) A 100 years of some wind loading provisions in Central and Eastern Europe.
475 *Journal of Wind Engineering & Industrial Aerodynamics* 91(12-15):1873-1889.

476

477

Table 1 Statistics of load variables

Variable	Distribution	Mean	COV	Ref.
G/G_k	Normal	1.0	0.1	[34]
Q/Q_k	Gumbel	0.2	1.1	[34]
W/W_k	Gumbel	0.7	0.35	[34]

478 Note: Q refers to live load imposed 5 years.

479

Table 2 Parameters of the typical frames

Frame No.	Column section/mm	Beam		Load value		
		span	section/mm	W_k/kN	$G_k/\text{kN/m}$	$Q_k/\text{kN/m}$
Frame1	400×400	AB	300×600	20.93	27.05	21.88
		BC	200×400	-	8.30	6.25
Frame2	500×500	AB	300×600	20.08	27.05	21.88
		BC	200×400	-	11.61	9.38
Frame3	500×500	AB/CD	250×600	44.40	23.15	18.75
		BC	250×400	-	11.96	9.38

480

481

Table 3 Load effects for the typical RC frames.

Section	M_{Wk}	N_{Wk}	M_{Gk}	N_{Gk}	M_{Qk}	N_{Qk}	M	N
No.	/kN·m	/kN	/kN·m	/kN	/kN·m	/kN	/kN·m	/kN
CS1	-34.92	7.77	-13.78	-179.79	-11.16	-144.51	-84.77	-409.83
CS2	-108.21	-2.87	-15.12	-367.15	-12.23	-296.86	-195.47	-807.23
CS3	111.62	21.52	20.62	-521.89	16.79	-420.06	212.90	-1113.3

482

Note: negative and positive values for axial force in compression and tension, respectively.

483

484

Table 4 Ranges of normalized design parameters

Q_k/G_k	λ_N	No.1-No.24		
		ρ_M	ρ_s	ρ_N
[1.0,1.5]	[0.5, 2.0]	[1.0, 4.0]	[1%, 2%]	[-0.15, 0.15]

485

486

Table 5 Values of design parameters for No.1-No.24 cases

No.	ρ_M	ρ_s	ρ_N	No.	ρ_M	ρ_s	ρ_N
1	1.0	1%	-0.15	13	2.5	2%	-0.15
2	1.0	1%	-0.05	14	2.5	2%	-0.05
3	1.0	1%	0.05	15	2.5	2%	0.05
4	1.0	1%	0.15	16	2.5	2%	0.15
5	1.0	2%	-0.15	17	4.0	1%	-0.15
6	1.0	2%	-0.05	18	4.0	1%	-0.05
7	1.0	2%	0.05	19	4.0	1%	0.05
8	1.0	2%	0.15	20	4.0	1%	0.15
9	2.5	1%	-0.15	21	4.0	2%	-0.15
10	2.5	1%	-0.05	22	4.0	2%	-0.05
11	2.5	1%	0.05	23	4.0	2%	0.05
12	2.5	1%	0.15	24	4.0	2%	0.15

487

488

Table 6 Statistics of resistance variables

Variable	Distribution	Mean	COV	Ref.
f_c/f_{ck}	Lognormal	1.50	0.183	[34]
f_y/f_{yk}	Lognormal	1.1	0.06	[34,37]
R/R_k	Lognormal	1.28	0.15	[37]

489

490 Table 7 Proportion of compression failure and tension failure to the total failure with different cases

No.	$\lambda_N=0.5$	$\lambda_N=1.0$	$\lambda_N=2.0$		$\lambda_N=2.5$		$\lambda_N=4.0$
	Ratio _{TF} (%)	Ratio _{TF} (%)	Ratio _{TF} (%)	Ratio _{CF} (%)	Ratio _{TF} (%)	Ratio _{CF} (%)	Ratio _{CF} (%)
1	100	100	95.28	4.72	4.88	95.12	100
2	100	100	0	100	0	100	100
3	100	100	0	100	0	100	100
4	100	100	0	100	0	100	100
5	100	100	95.60	4.40	24.03	75.97	100
6	100	100	100	0	0	100	100
7	100	100	0	100	0	100	100
8	100	100	0	100	0	100	100
9	100	100	99.63	0.37	26.25	73.75	100
10	100	100	100	0	0	100	100
11	100	100	100	0	0	100	100
12	100	100	0	100	0	100	100
13	100	100	100	0	94.47	5.53	100
14	100	100	100	0	0	100	100
15	100	100	90	10	0	100	100
16	100	100	22.22	77.78	0	100	100
17	100	100	99.95	0.05	53.7	46.3	100
18	100	100	100	0	0	100	100

19	100	100	66.67	33.33	0	100	100
20	100	100	20	80	0	100	100
21	100	100	100	0	97.43	2.57	100
22	100	100	99.43	0.57	39.12	60.88	100
23	100	100	92.86	7.14	0	100	100
24	100	100	52.17	47.83	0	100	100

491 Note: Ratio_{TF} and Ratio_{CF} means the proportions of tension failure and compression failure to the total
492 failure probability, respectively.

493

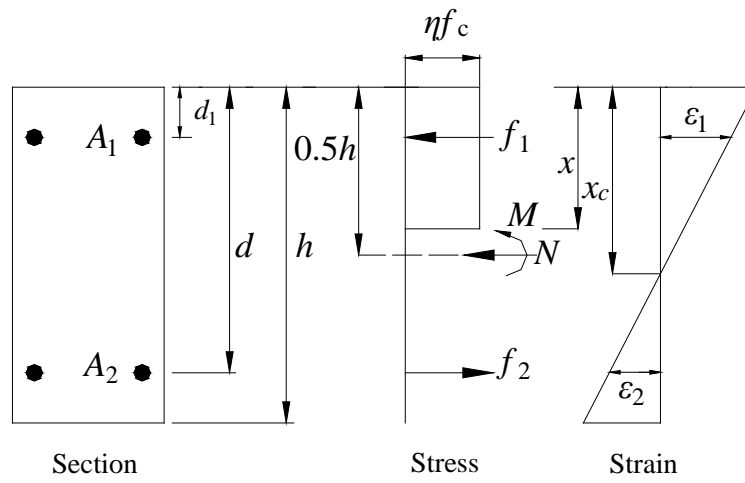
494 Table 8 Robustness evaluation of the methods with different γ_w factors for 48 cases

γ_w	β_{\max}	β_{mean}	β_{\min}	COV
In the code	4.10	2.69	3.25	0.114
Recommended	3.83	3.80	3.76	0.005

495

496

Fig.1. Capacity model of RC columns

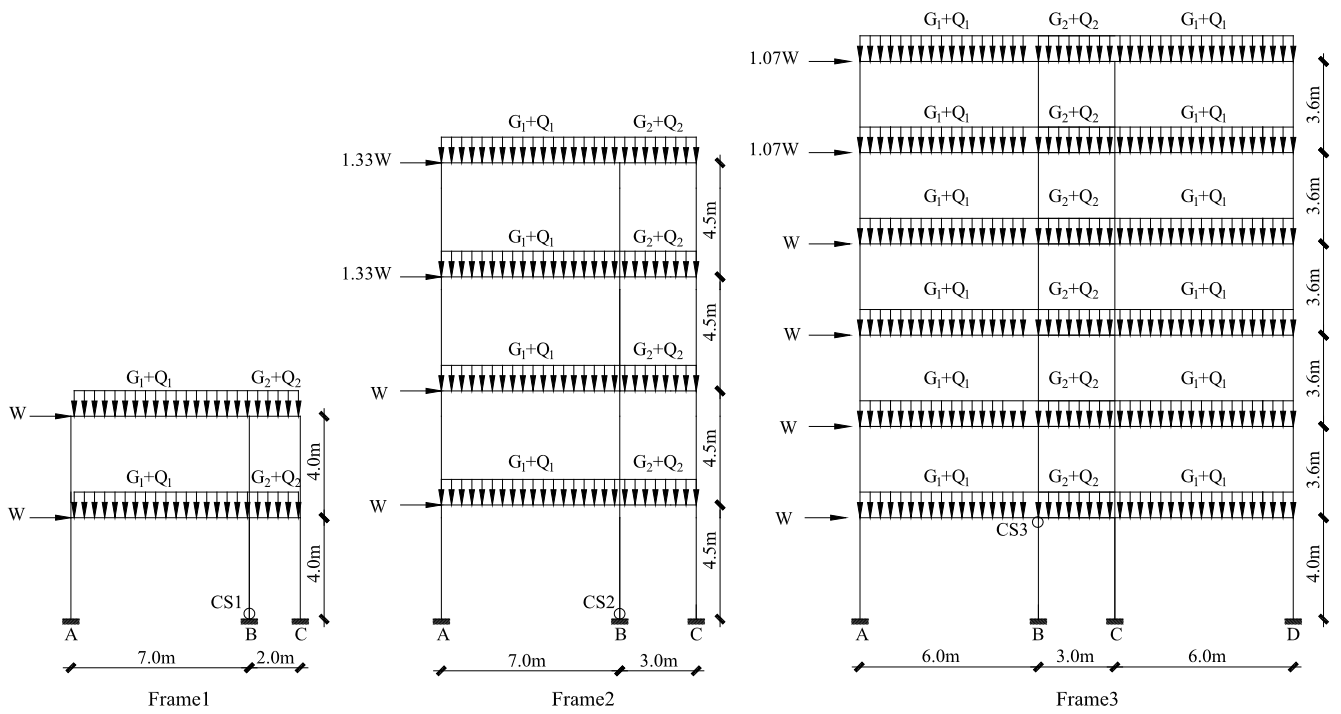


497

498

Fig.2. Computational model of the typical frame structures

499

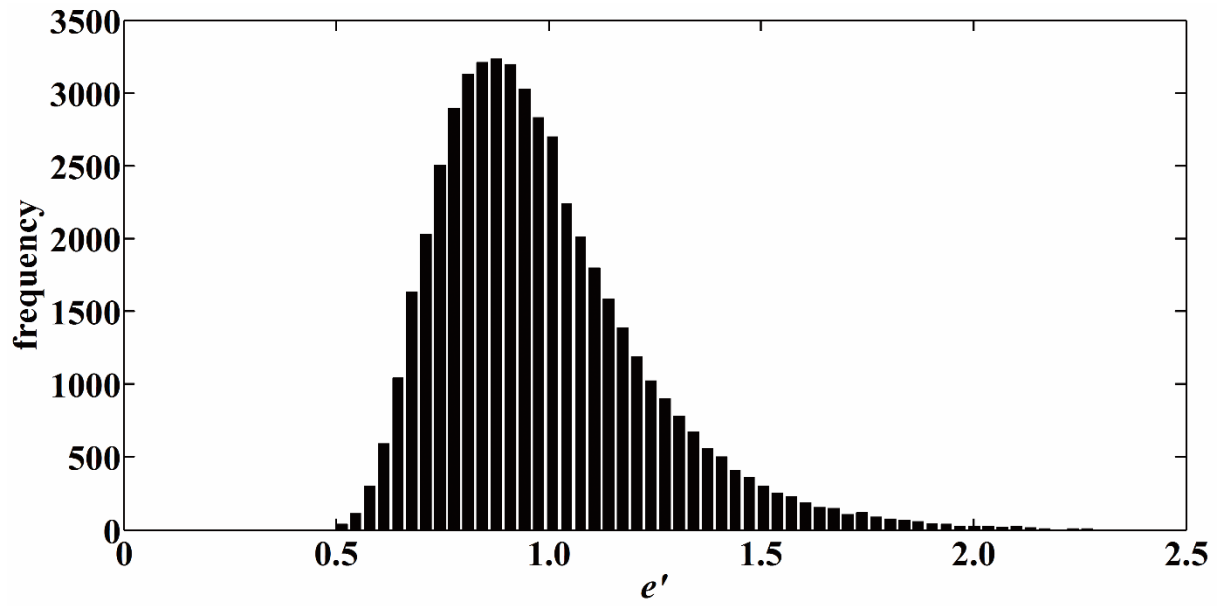


500

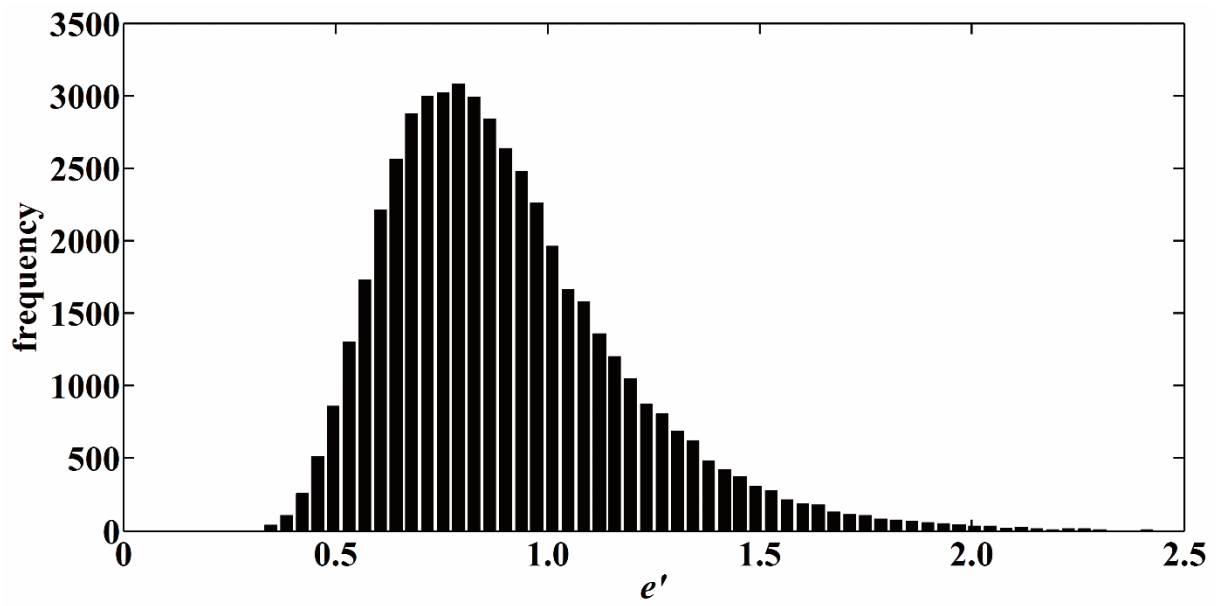
501

502
503

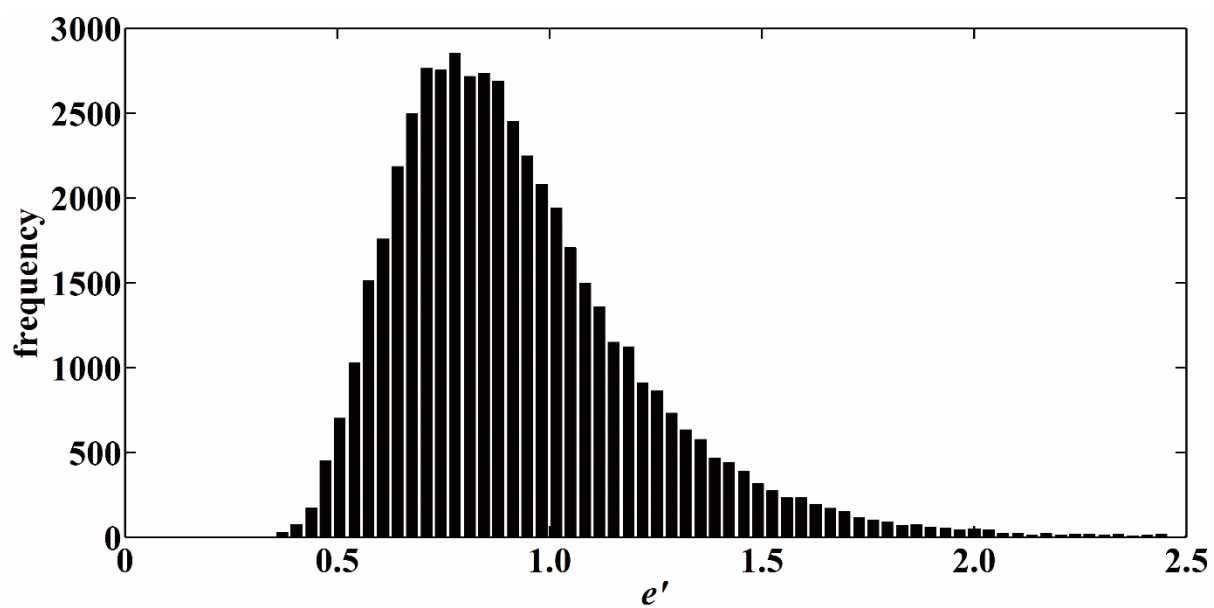
Fig.3. Probability distribution of loads eccentricity for frame structures



(a) Mean=0.983, COV=0.253 for CS1 in Frame 1



(b) Mean=0.900, COV=0.317 for CS2 in Frame 2

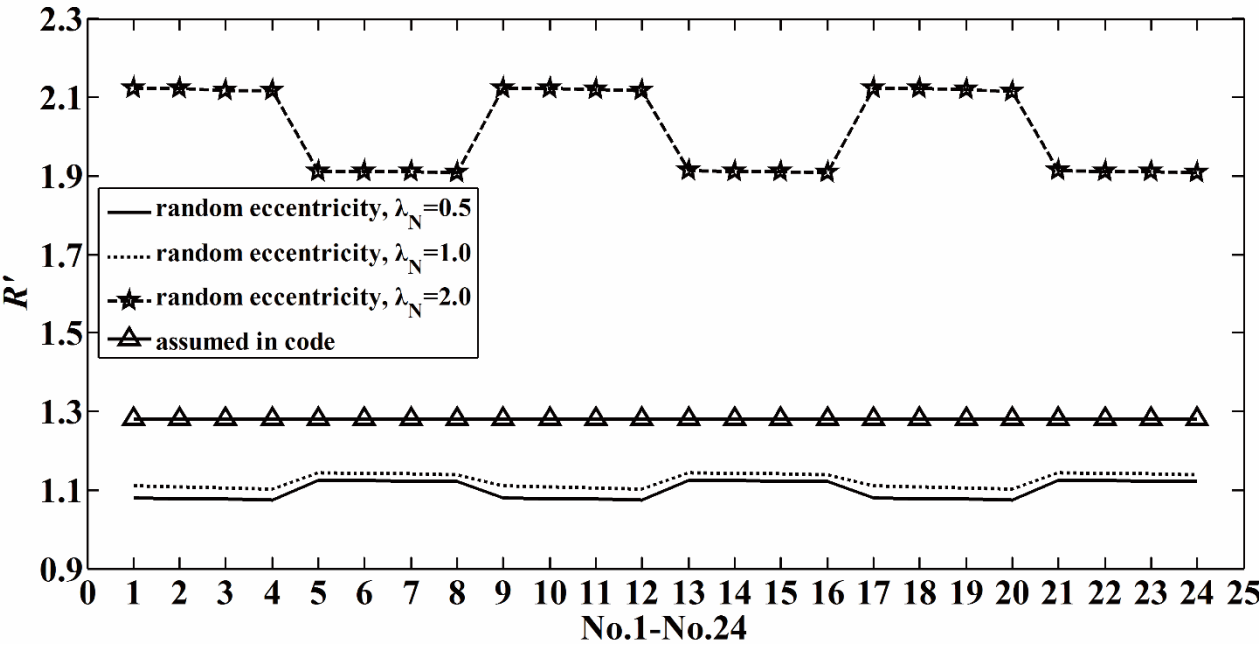


(c) Mean=0.927, COV=0.319 for CS3 in Frame 3

513

514

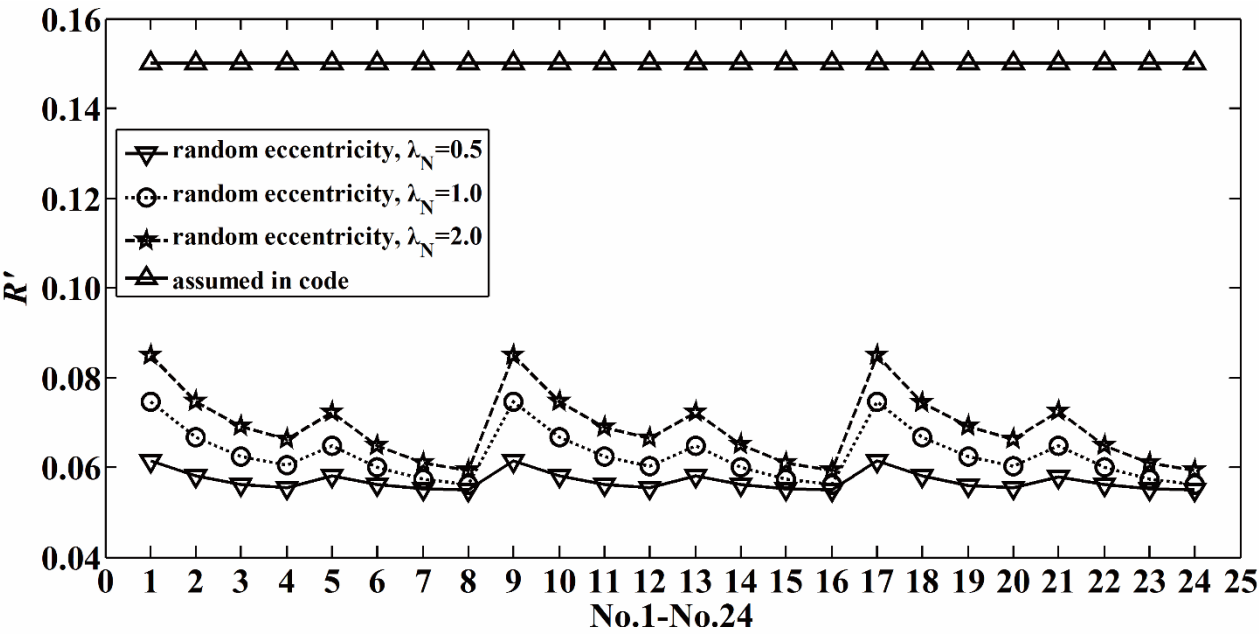
Fig.4. Statistics of resistance for columns with random loads eccentricity



515

516

(a)Mean value



517

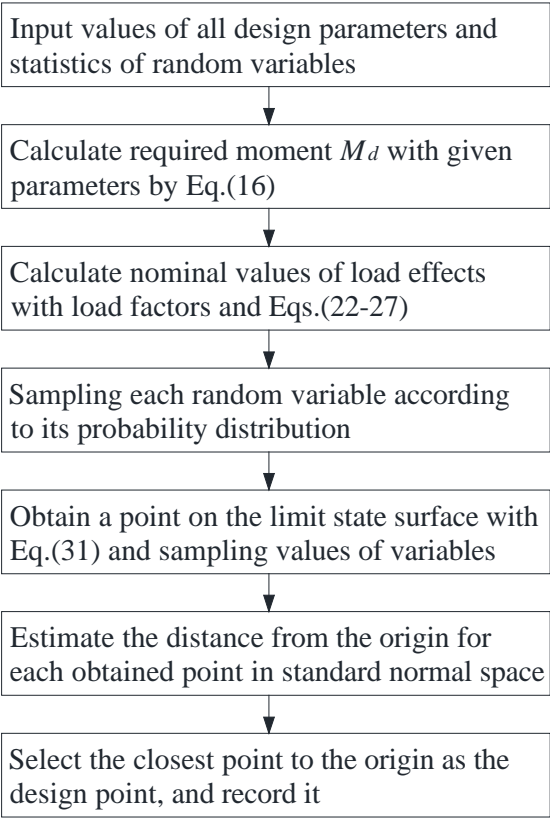
518

519

(b)COV

520

Fig.5.Flowchart for reliability analysis with random loads eccentricity

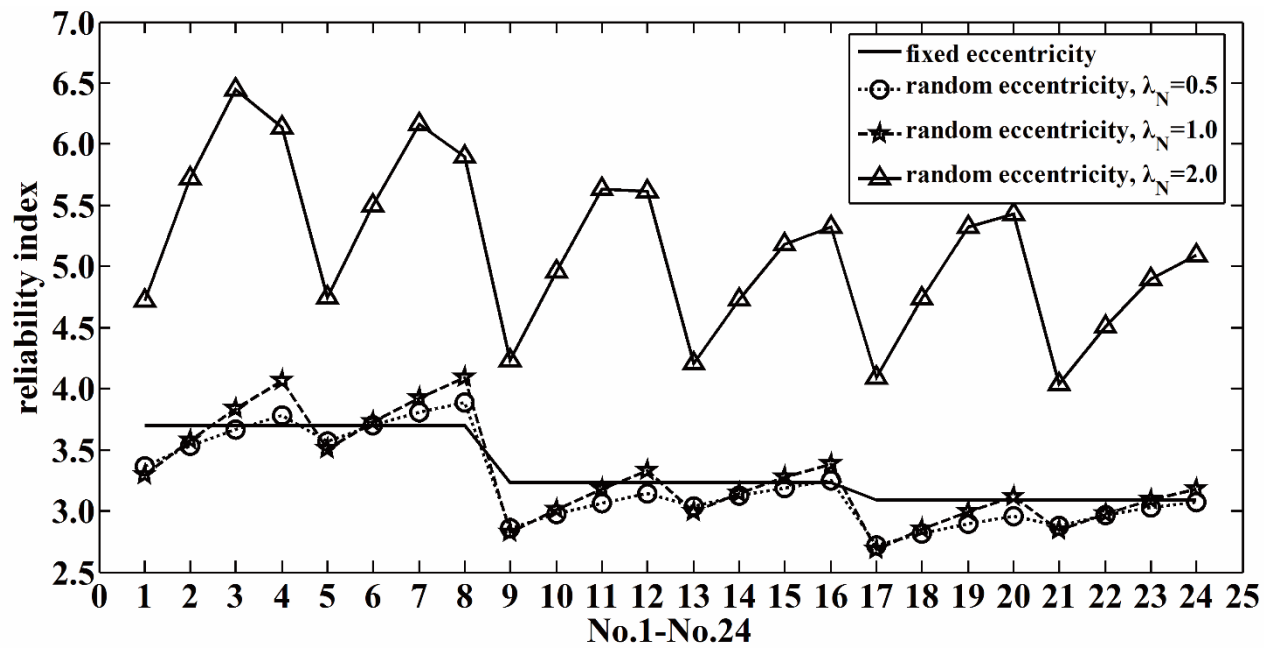


521

522

523

Fig.6. Reliability indexes with random loads eccentricity or fixed loads eccentricity



524

525

526

Fig.7. Recommended values of γ_w for different cases

